## Aural Pattern Recognition Experiments and the Subregular Hierarchy

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The Subregular Hierarchy (Heinz 2010, Figure 2)

## The Subregular Hierarchy- Four main classes:

- 1. Strictly Local Stringsets
- 2. Locally Testable Stringsets
- 3. Locally Threshold Testable
- 4. Star-Free Stringsets

# 1. Strictly Local Stringsets

No need for repetition, we have seen enough of this  $\bigcirc$ 

# 2. Locally (*k*-) Testable Stringsets (LT<sub>k</sub>)

A stringset L is Locally Testable iff there is some k such that, for all strings x and y: if  $F_k (\rtimes .x. \ltimes) = F_k (\rtimes .y. \ltimes)$  then  $x \in L \Leftrightarrow y \in L$  (or  $x \notin L \Leftrightarrow y \notin L$ ).

*In plain English:* If the set of the *k*-factors of one string equals the set of the *k*-factors of another string, either both strings belong to L, or neither belongs to L.

In other words, a pattern is locally k-testable iff it is possible to decide whether the set of k-factors making up the word is allowable. So, any locally 2-testable pattern either includes both *fifizt* and *fififizt* or excludes both (since they have the same set of 2-factors: *fi, if, iz, zt*) (Heinz 2010).

**Example 1:** Consider the following two stringsets:

**Some-B**= { $w \in \{A,B\}^* | |w|_B \ge 1$ } (the set of strings of A's and B's with at least one B) **One-B**= { $w \in \{A,B\}^* | |w|_B = 1$ } (the set of strings of A's and B's with exactly one B)

Some-B is Locally Testable, but One-B is not. The language of Some-B is  $A^k B A^k B A^k$ , while the language of One-B is  $A^k B A^k$ . These two strings have the same *k*-factors (eg. 1-factors={×, A, B,

 $\ltimes$ }), but Some-B is learnable whereas One-B is not. The reason is because it is not possible to keep track of the number of B's occurring in the string.

**Difference between SL**<sub>k</sub> and LT<sub>k</sub>: An LT<sub>k</sub> pattern may include a word like *rakt* but exclude a word like *rak* since the two words have different sets of *k*-factors (2-factors={*ra*, *ak*, *kt*} versus {*ra*, *ak*}). On the other hand, a SL<sub>k</sub> includes both *rakt* and *rak* because the *k*-factors for the first one is a superset for the *k*-factors of the second one.

For each k, the class  $SL_k$  is a proper subset of  $LT_k$ .



**But**,  $SL_{k+1}$  is not a subset of  $LT_k$  nor is  $LT_k$  a subset of  $SL_{k+1}$ . In fact,  $LT_2$  includes stringsets that are not SL for any *k*.

Similarities between  $SL_k$  and  $LT_k$ : As with SL, the  $LT_k$  stringsets are learnable in the limit if k is fixed.

## 3. Locally Threshold Testable

It would be better to keep track of *how many* times a *k*-factor occurs. We can set a threshold for this and still have a finite-state. This way we can recognize any n-B string for any *n* smaller than the threshold. These are the languages definable in First-Order Logic with the successor relation (but without the order (Place et al. 2014)).

FO(+1): An  $\triangleleft$ -model of a string *w* is a structure  $\langle \mathcal{D}, \triangleleft, P_{\sigma} \rangle \ \sigma \in \Sigma$ 

where the domain  $\mathcal{D} \stackrel{\text{def}}{=} \{i \in \mathbb{N} | 0 \le i < |w|\}$  is the set of positions in w,  $\lhd$  is the successor relation on these positions ( $x \lhd y \stackrel{def}{\Leftrightarrow} y = x+1$ ) and, for each  $\sigma \in \Sigma$ , the predicate  $P_{\sigma}$  picks out the set of positions at which  $\sigma$  occurs in w.



For instance,  $P_s = 1$ ,  $P_r = 2$ , etc.

For instance, the language  $a^+b^+a^+b^+$  is locally threshold testable. This is because in a string *abab*, which has an *a* as a prefix, we have *ab* as an infix exactly two times, and *ba* as an infix exactly one time (Bojańczyk 2007).

We show these languages in this format:  $LTT_{[k,t]}$ , where k means k-factor and t is our threshold.

So, One-B above is  $LTT_{[1,2]}$ . But, the following stringset is not:

**B-before-** $C \stackrel{\text{def}}{=} \{ w \in \{A, B, C\}^* | \text{ at least one B precedes any } C \}$ 

Reason: The set of 1-factor for ABACA is the same for ACABA.

 $LT_k$  is a special case of  $LTT_{[k,t]}$  when t=1 (Place et al. 2014).

### 4. Star-Free Stringsets

The next step is to extend the FO signature to include the order ("precedes" or "less-than"). This class is called  $FO(\leq)$ , which coincides with the Star-Free sets (SF).

B-before-C, for example, is the set of strings over {A, B, C} which satisfy:

 $(\forall x)[C(x) \rightarrow (\exists y) [B(y) \land y < x]].$ 



A set of strings is First-Order definable in FO(<), i.e. , relative to the class of finite  $\langle \mathcal{D}, \triangleleft, <, P_{\sigma} \rangle \sigma \in \Sigma$  models, iff it is non-counting.

A stringset L is SF iff it is Non-Counting (NC). This means iff there exists some n > 0 such that, for all strings *u*, *v*, *w* over  $\Sigma$ , if  $uv^n w$  occurs in L, then  $uv^{n+1}w$ , for all  $i \ge 1$ , occurs in L as well.

An example of a <u>not</u> NC stringset, which requires modular counting is the set of strings of A's and B's in which the number of B's is even:

Even-B  $\triangleq \{w \in \{A, B\}^* \mid |w|_B \mod 2 = 0^1\}$ 

Using LT strategies cannot recognize this pattern because it cannot distinguish  $(A^*BA^*)^{2n}$  from  $(A^*BA^*)^{2n+1}$ .



Subregular	<i>Hierarchies</i>	of Stringsets	(from	Heinz .	2015)
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Classes	Learnable	<b>Counts Occurrence</b>	<b>Tracks Precedence</b>	Example
$\mathrm{SL}_k$	if k is fixed	X	X	*CC
$LT_k$	if k is fixed	X	×	Some-B
$LTT_{[k,t]}$	if k and t are fixed	$\checkmark$	X	One-B
SF	??	X	✓	B-before-C

<sup>1</sup> Mod 2=0 means the number of B's divided by 2 should have the remainder of 0.

#### $LT_k$ versus $LTT_k$ :

#### LT Automata:

LTT Automata:



(Rogers and Heinz 2014)

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